



Certificate of Completion received by PFI on:

(date)

TO BE COMPLETED BY LENDER:

Participating Financial Institution Name:

PFI Loan Identification Number:

## Clean Energy Upgrade Financing Program Certificate of Completion

THIS SECTION TO BE COMPLETED BY THE PRIMARY CONTRACTOR:

Qualified Contractor's Name:

Qualified Contractor's License Number:

City and ZIP Code Where the Project is Located:

Certification Type and Number

### Qualified Contractor Certification – Energy Efficiency Improvements

This Qualified Loan is being enrolled in the Clean Energy Upgrade Financing Program ("Program").  
You are a "Qualified Contractor." By signing below, you certify the following:

☐ I am licensed to perform the work for which the Qualified Loan is made.

☐ I am a BPI-certified contractor

-OR-

☐ I am a contractor approved for participation in the CPUC-approved residential whole-house energy efficiency retrofit programs.

The Eligible Improvements installed are:

☐ energy saving home improvements recommended in the Pre-Project Assessment

-OR-

☐ selected in accordance with a CPUC-approved residential whole-house energy efficiency retrofit program.

☐ The installation complies with all applicable California building standards (all sections of Title 24), local electricity utility interconnection requirements, and any additional laws, ordinances, regulations and standards applicable in the jurisdiction where the installation occurred.

☐ All permits and approvals required to install the Eligible Improvements have been secured.

The Post-Project Assessment was conducted:

by an Independent Third-Party Inspector  
(provide name)

-OR-

☐ If Borrower is participating in a CPUC-approved residential whole-house energy efficiency retrofit program, in accordance with that program's quality assurance protocols.

-OR-

☐ If Borrower is participating in a residential whole-house energy efficiency retrofit program operated by a utility that is not subject to approval by the California Public Utilities Commission, in accordance with the quality assurance protocols established under the Home Performance with ENERGY STAR joint program of the U.S. Environmental Protection Agency and the U.S. Department of Energy.

who is (check and provide appropriate certification #):

☐ a HERS Whole House Rater

# \_\_\_\_\_,

☐ a BPI Building Analyst

# \_\_\_\_\_, or

☐ a BPI Energy Auditor

# \_\_\_\_\_,

in accordance with the BPI Building Analyst Professional Standard or BPI Home Energy Auditing Standard.

Qualified Contractor's Signature:

Date:

THIS FORM MUST BE SUBMITTED TO LENDER IN ORDER TO COMPLETE LOAN ENROLLMENT

**TO BE COMPLETED BY LENDER:****Participating Financial Institution Name:****PFI Loan Identification Number:**

## Clean Energy Upgrade Financing Program

**THIS SECTION TO BE COMPLETED BY CONTRACTOR INSTALLING DISTRIBUTED GENERATION (IF APPLICABLE)****Qualified Contractor Certification – Distributed Generation Renewable Source(s)**

- ☐ This Qualified Loan includes the installation of distributed generation renewable energy source(s).  
You are a "Qualified Contractor." By signing below, you certify the following:

I hold a valid (*check appropriate license*) ☐ A ☐ B ☐ C-4 ☐ C-10 ☐ C-36 ☐ C-46  
license from the California Contractors State License Board.

I certify that the loading order requirement was met by:

- ☐ Energy efficiency improvements meet minimum ten percent (10%) reduction in total property energy use **-OR-** ☐ Installed energy efficiency improvements selected in accordance with a CPUC-approved residential whole-house energy efficiency retrofit program.

**-OR-**

- ☐ HERS Index Home Energy Rating score \_\_\_\_\_ (*85 or lower, provided the following requirement is met*)  
☐ Air Sealing/Attic Insulation/Duct Test & Seal/Hot Water Heater Insulation installed if recommended by the Pre-Project Assessment.

**Qualified Contractor's Signature:****Date:****THIS SECTION TO BE COMPLETED BY BORROWER:****Borrower Certification**

**Completion of Work:** I certify that:

- The Eligible Improvements have been completed to my satisfaction.
- I understand that the California Alternative Energy and Advanced Transportation Financing Authority ("Authority") and its directors, officers, and agents do not guarantee the performance, quality, or workmanship of the Eligible Improvements.
- The loan proceeds were used to pay for the Eligible Improvements.
- All permits and approvals required to install the Eligible Improvements have been secured.

**Release of Information:** I acknowledge that the Authority, to the extent allowed by the Program regulations, including its officers, directors, employees, agents, or designees, has received and will receive information about me related to the project. The Authority may use this information for program management and evaluation, and will treat the information as confidential unless otherwise required by law. I authorize my Qualified Contractor and Participating Financial Institution to share information with the Authority, except as required by the Program regulations, including contract information, data on work performed and Eligible Improvements installed, information regarding my Qualified Loan, and other information relating to or arising from participation in the Program.

**Signature of Borrower:****Print Name:****Date:****Signature of Co-Borrower, if applicable:****Print Name:****Date:**

*\*All capitalized terms are defined in Section 10050 of the Program regulations.*

*Entries bordered in red should be redacted by PFI prior to submission to the Authority.*

**THIS FORM MUST BE SUBMITTED TO LENDER IN ORDER TO COMPLETE LOAN ENROLLMENT**